

South Dakota Proof of Concept SBIR Phase 0 Program

Purpose

The SBIR/STTR Phase 0 option is to support preliminary research and other activities related to development and submission of a Phase I SBIR/STTR proposal to a federal agency. The goal is to increase the competitiveness of the SBIR/STTR proposal.

Application Process

- Complete the application form. Submit the application via email to the following individual:
 - Mel Ustad – mel.ustad@sdstate.edu
 - Ann Gesick Johnson – Ann.gesick-johnson@sdreadytowork.com.
- **In order to be considered, applicants must notify the GOED of the intent to apply or submit the application a minimum of 6 weeks before the SBIR/STTR deadline.** If using the intent to apply, the application must be submitted 4 weeks before the deadline.
- Application should be a maximum of 5-pages.
- Up to \$5,000 may be requested in the itemized budget. Budgets cannot be used to pay principals of the submitting company.
- GOED Commissioner, staff and South Dakota SBIR Center Director will review the application and issue an award agreement if the application is approved.
- Applicants must be eligible to participate in the SBIR program.
- The awardee must submit a draft SBIR Phase I application to the SD SBIR Center one week prior to the submission deadline for review.
- The awardee must provide documentation evidencing the submission of the SBIR/STTR application to the federal agency and actual expenditures for which reimbursement is requested.
- Upon receipt of the documentation and approval then a final payment will be issued to the awardee.
- The awardee must notify GOED on the outcome of the SBIR/STTR application. If the federal agency does not fund the Phase I application the awardee would have no obligation to repay the Phase 0 funds. If the federal agency does fund the Phase I application the Phase 0 funds may be converted to a loan or equity at a later date if commercialized successfully.

Questions?

If you have questions about the SBIR Phase 0 program, please contact Mel Ustad using the email address above or by phoning 605-670-1678.

South Dakota Proof of Concept SBIR Phase 0 Application

Applicant Contact Information:

Name:
Address:
Phone:
Email:

Federal Agency and SBIR RFP you plan to respond:

Name of Federal Agency:
RFP Deadline:

Please provide the following information as an attachment (not to exceed 5 pages).

- Brief description of the concept/innovation/technology
- SBIR Phase 0 Work Plan
- Commercial applications and potential economic impact in South Dakota

Proof of Concept SBIR Phase 0 Detailed Budget Worksheet

Please itemize the budgeted expenses up to \$5,000 for your SBIR Phase 0 Proof of Concept request. If multiple items are budgeted under a category, the separate costs for each item must be provided. Match is not required but please include it if applicable.

Overhead and General and Administrative fees are not eligible for Phase 0 funds but can be documented as match.

	South Dakota Proof of Concept	Applicant Match		Total
		Cash	In-kind	
Personal Services				
Fringe Benefits				
Travel				
Equipment				
Supplies				
Contractual				
Other				
Total Costs				