

South Dakota Proof of Concept SBIR Phase 0 Program

Purpose

The SBIR/STTR Phase 0 option is to support preliminary research and other activities related to development and submission of a Phase I SBIR/STTR proposal to a federal agency. The goal is to increase the competitiveness of the SBIR/STTR proposal.

Application Process

- Complete the application form. Submit the application via email to the following individual:
 - Ann Gesick Johnson – Ann.gesick-johnson@sdreadytowork.com.
- **In order to be considered, applicants must notify the GOED of the intent to apply or submit the application a minimum of 6 weeks before the SBIR/STTR deadline.** If using the intent to apply, the application must be submitted 4 weeks before the deadline.
- Application should be a maximum of 5-pages.
- Up to \$5,000 may be requested in the itemized budget. Budgets cannot be used to pay principals of the submitting company.
- GOED Commissioner, staff and South Dakota SBIR Center Director will review the application and issue an award agreement if the application is approved.
- Applicants must be eligible to participate in the SBIR program.
- The awardee must submit a draft SBIR Phase I application to the SD SBIR Center one week prior to the submission deadline for review.
- The awardee must provide documentation evidencing the submission of the SBIR/STTR application to the federal agency and actual expenditures for which reimbursement is requested.
- Upon receipt of the documentation and approval then a final payment will be issued to the awardee.
- The awardee must notify GOED on the outcome of the SBIR/STTR application. If the federal agency does not fund the Phase I application the awardee would have no obligation to repay the Phase 0 funds. If the federal agency does fund the Phase I application the Phase 0 funds may be converted to a loan or equity at a later date if commercialized successfully.

Questions?

If you have questions about the SBIR Phase 0 program, please contact Ann Gesick Johnson using the email address above or by phoning 605-367-5340.

South Dakota Proof of Concept SBIR Phase 0 Application

Applicant Contact Information:

Company:

Point of Contact:

Job Title:

Address:

City:

State:

Zip:

Email:

Phone

Does the ownership of the company fall into any of the following categories? (check all that apply)

African American

Asian Pacific American

Native American

Veteran

Hispanic

Women

We only work with businesses located in South Dakota. By selecting yes, you confirm you are either currently located in South Dakota or will agree to relocate here.

Yes

Federal Agency and SBIR RFP you plan to respond:

Name of Federal Agency:

RFP Deadline:

Please provide the following information as an attachment (not to exceed 5 pages).

Abstract: Provide an abstract (150 words maximum) with the following information:

- What is the innovation?
- What is the competitive advantage?
- Qualifications of the team
- Impact for South Dakota

Background: List and briefly describe the objectives. Briefly review the current status of the proposed work and include any pertinent IP status (disclosure, ownership, licensing arrangement, etc.), business development, preliminary data and competition or barriers to market entry.

Work Plan: Clearly and succinctly describe the technical/scientific and business tasks to be done, identify who will do each task and provide a timeline for completions of each task with milestones used to determine success.

Commercialization Plan: Outline our plan for bringing the innovation to market, identify partners you plan to work with and include documentation of their interest or potential interest if your proof of concept research is successful. Clearly identify the economic impact on South Dakota's economy in terms of job creation, partnerships with existing SD firms, etc.

Budget (Up to \$5,000)

Please provide a detailed budget using the budget form. Please see program guidelines for details on allowed expenses.

Proof of Concept SBIR Phase 0 Detailed Budget Worksheet

Please itemize the budgeted expenses up to \$5,000 for your SBIR Phase 0 Proof of Concept request. If multiple items are budgeted under a category, the separate costs for each item must be provided. Match is not required but please include it if applicable.

Overhead and General and Administrative fees are not eligible for Phase 0 funds but can be documented as match.

	South Dakota Proof of Concept	Applicant Match		Total
		Cash	In-kind	
Personal Services				
Fringe Benefits				
Travel				
Equipment				
Supplies				
Contractual				
Other				
Total Costs				